



Minimal Complexity C-complexes for Colored Links



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Introduction

A link is a collection of strings tangled together with their ends fused together. Given any link there is a **two-dimensional surface** it bounds. The **genus** of this surface gives a measure of the complexity of the link. In this project, we study the analogous measure of complexity given by a generalization of a surface called a **C-complex**. In order to show that this measure captures some information, we present an **infinite family of links** for which this new measure of complexity is arbitrarily high. Pictured below are examples of a bounded surface and a C-complex.

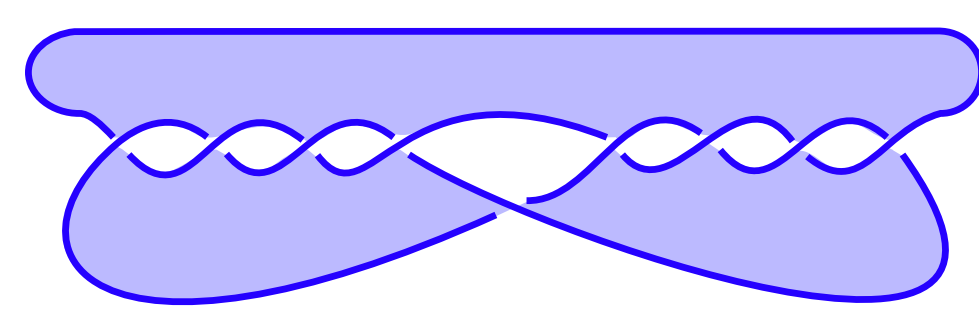


Figure 1: Surface

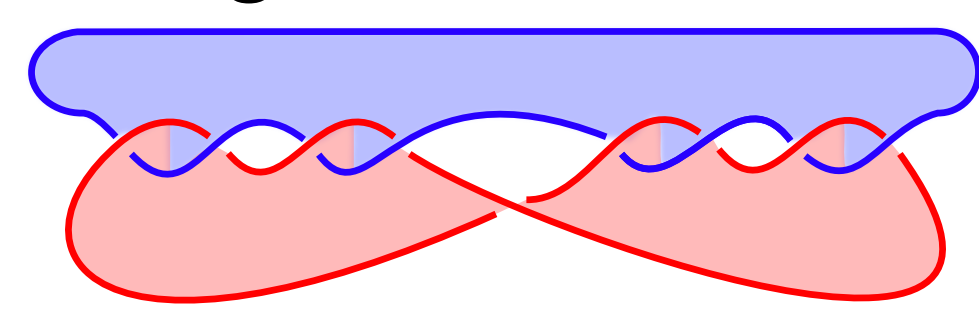


Figure 2: C-complex

Questions and Summary

For a link L the **complexity** of L , $\mathcal{C}(L)$, is defined as the minimum of the complexity of all C-complexes bounded by L . The complexity of a C-complex is defined to be the number of curves needed to “fill up” the complex. For Figure 3, the complexity is at most 1 because it takes one curve to “fill up” the complex. Given a C-complex and the curves on it, one can write a matrix by counting linking number of push offs of curves.

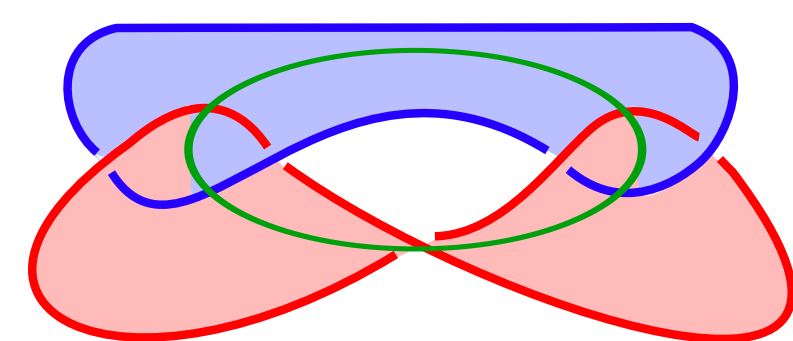


Figure 3: A Link and C-complex of complexity 1

$$\begin{aligned} A^{++} &= [0] & A^{--} &= [0] \\ A^{+-} &= [-1] & A^{-+} &= [-1] \end{aligned}$$

There is a function valued invariant of $\sigma_L(\omega_1, \omega_2)$ such that $|\sigma_L(\omega_1, \omega_2)| \leq \mathcal{C}(L)$.

The signature is the summation of the signs of eigenvalues of the link.

Theorem 1 *The absolute value of the signature must be less than or equal to the complexity.*

Tools: The linking matrix and signature

Given a C-complex, X , of complexity k . There are k curves which fill up X , l_1, l_2, \dots, l_k . There is a $k \times k$ matrix $A^{\epsilon_1 \epsilon_2}$ whose $i^{\text{th}} j^{\text{th}}$ entry is $\text{lnk}(l_i, l_j^\epsilon)$, where l_j^ϵ is the ϵ -pushoff. Linking counts crossings between l_i and l_j^ϵ .

For example for Figure 3, the Cimasoni-Florens linking matrix is given by:

$$\begin{aligned} H(\omega_1, \omega_2) &= \sum (1 - \omega_1^{\epsilon_1})(1 - \omega_2^{\epsilon_2}) A^{\epsilon_1 \epsilon_2} \\ &= (1 - \bar{\omega}_1)(1 - \bar{\omega}_2)(\omega_1 + \omega_2) \end{aligned}$$

The signature of L is defined by the summation of the signs of the eigenvalues of the link. The link L is Hermitian, therefore it has real eigenvalues.

For the link of Figure 3 when θ_1 and θ_2 are very close to -1 , the signature is

$$\sigma_L(\omega_1, \omega_2) = 1$$

Since the signature is 1, the complexity of Figure 3 is exactly 1 because $1 \leq \mathcal{C}(L) \leq 1$.

Facts:

1. Any complex for L produces the same σ .
2. Since a $k \times k$ matrix has $\leq k$ eigenvalues, thus $|\sigma| \leq k$. Thus the absolute value of the signature must be less than or equal to the complexity. *In fact this is the proof of Theorem 1.*

Examples with high complexity

For Figure 5, $\mathcal{C}(L) \leq 2k + 1$ because there is a C-complex of complexity exactly $2k + 1$.

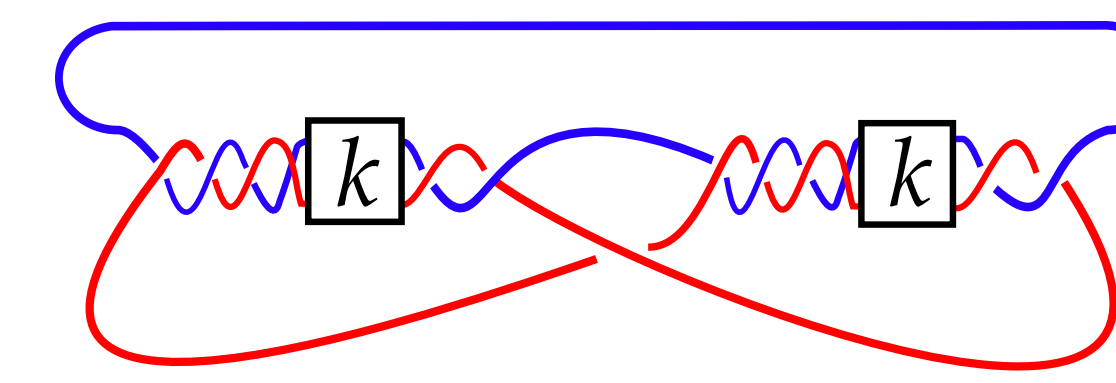


Figure 4: A Link L

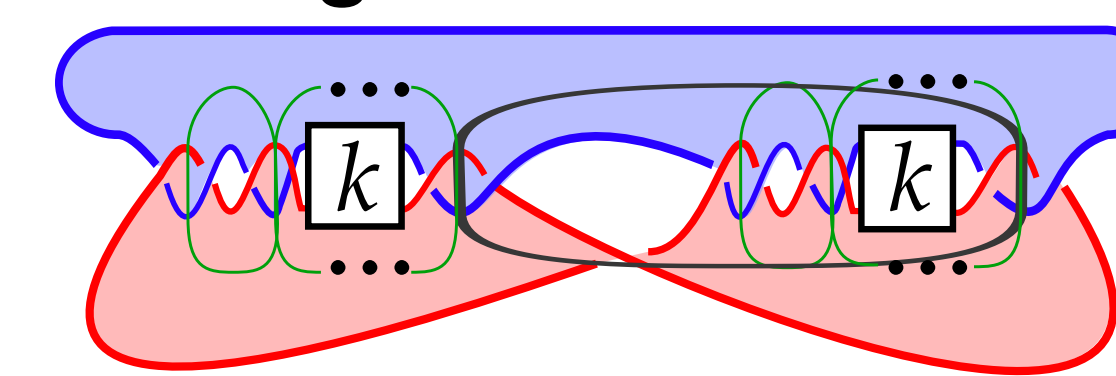


Figure 5: A C-complex for L

By showing $|\sigma_L(\omega_1, \omega_2)| = 2k - 1$ for some (ω_1, ω_2) we see $2k - 1 \leq \mathcal{C}(L) \leq 2k + 1$. In particular we have examples of arbitrarily high complexity.

The linking matrix of L , call it H , is given by:

$$(1 - \bar{\omega}_1)(1 - \bar{\omega}_2) \begin{array}{cc|cc} & G_1 & 0 & 0 \\ & & & \omega_1 \\ 0 & 0 & G_2 & 0 \\ 0 \dots \omega_2 & 0 \dots -\omega_1 \omega_2 & & 0 \end{array}$$

Where

$$G_1 = \begin{vmatrix} \omega_1 + \omega_2 & -\omega_1 & 0 & 0 \\ -\omega_2 & \dots & \dots & 0 \\ 0 & \dots & \dots & -\omega_1 \\ 0 & 0 & -\omega_2 & (\omega_1 + \omega_2) \end{vmatrix}$$

and

$$G_2 = \begin{vmatrix} -1 - \omega_1 \omega_2 & \omega_1 \omega_2 & 0 & 0 \\ 1 & \dots & \dots & 0 \\ 0 & \dots & \dots & \omega_1 \omega_2 \\ 0 & 0 & 1 & -1 - \omega_1 \omega_2 \end{vmatrix}$$

are tridiagonal $k - 1 \times k - 1$ matrices.

Proposition 2 *The tridiagonal matrix*

$$\begin{vmatrix} a & b & 0 & 0 \\ \bar{b} & \dots & \dots & 0 \\ 0 & \dots & \dots & b \\ 0 & 0 & \bar{b} & a \end{vmatrix}$$

diagonalizes to

$$\begin{vmatrix} p_1 & 0 & 0 & 0 \\ 0 & p_2 & 0 & 0 \\ 0 & 0 & \dots & 0 \\ 0 & 0 & 0 & p_{k-1} \end{vmatrix}$$

where $p_1 = a$ and $p_{j+1} = a + \frac{b\bar{b}}{p_j}$.

By solving this recurrence relation, we that G_1 has eigenvalues (up to sign) $p_j = \frac{-\sin((k+1)(\pi - \frac{\phi_1 + \phi_2}{2}))}{\sin(k(\pi - \frac{\phi_1 + \phi_2}{2}))}$ where $\omega_1 = e^{i\theta_1}$ and $\omega_2 = e^{i\theta_2}$, and G_2 has eigenvalues (again, up to sign) $q_j = \frac{-\sin((k+1)(\pi - \frac{\phi_1 - \phi_2}{2}))}{\sin(k(\pi - \frac{\phi_1 - \phi_2}{2}))}$.

For $\theta_1 + \theta_2$ and $\theta_1 - \theta_2$ positive and very close to 0, p_1, \dots, p_k and q_1, \dots, q_k are all negative. Unfortunately the last eigenvalue is positive.

Thus, for this choice of θ_1 and θ_2 , $\sigma_L(\omega_1, \omega_2) = -2k + 1$

Future Research

- If we add n -additional positive twists, we hope to able to compute the complexity more precisely.

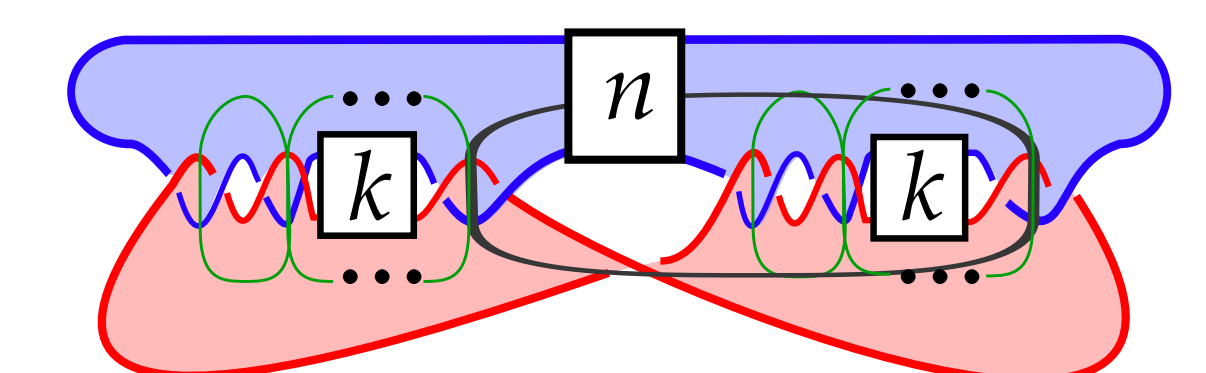


Figure 6: C-complex for L with additional positive twists

- We are interested in studying how C-complex complexity compares with genus. For example, is it possible to find a C-complex of low complexity and high genus (vice versa)?
- Since the signature function and genus are related, we're curious as to whether the discontinuity of the signature functions affects the genus.
- In the future, we plan to attempt to generate links which cannot be distinguished by their linking forms.

References

- [1] David Cimasoni and Vincent Florens. Generalized Seifert surfaces and signatures of colored links. *Trans. Amer. Math. Soc.*, 360(3):1223–1264 (electronic), 2008.
- [2] Colin C. Adams. *The Knot Book*. American Mathematical Society, 2nd edition, 2004.